

## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/880,231	06/12/2001	Ron Karim	15437-0508	5058
45657	7590 06/07/2006		EXAMINER	
HICKMAN PALERMO TRUONG & BECKER, LLP			WU, QING YUAN	
AND SUN MICROSYSTEMS, INC. 2055 GATEWAY PLACE			ART UNIT	PAPER NUMBER
SUITE 550			2194	
SAN JOSE, CA 95110-1089			DATE MAILED: 06/07/2006	

Please find below and/or attached an Office communication concerning this application or proceeding.

## **Advisory Action**

Application No.	Applicant(s)	_
09/880,231	KARIM, RON	
Examiner	Art Unit	
Qing-Yuan Wu	2194	

Before the Filing of an Appeal Brief --Th MAILING DATE of this communication appears on the cover sh et with the correspond nce address --THE REPLY FILED 09 May 2006 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE. 1. 🔀 The reply was filed after a final rejection, but prior to or on the same day as filing a Notice of Appeal. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114. The reply must be filed within one of the following time periods: a) The period for reply expires 3 months from the mailing date of the final rejection. b) The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection. Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f). Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). NOTICE OF APPEAL 2. The Notice of Appeal was filed on \_\_ .... A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a). **AMENDMENTS** 3. The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will <u>not</u> be entered because (a) They raise new issues that would require further consideration and/or search (see NOTE below); (b) They raise the issue of new matter (see NOTE below); (c) They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or (d) They present additional claims without canceling a corresponding number of finally rejected claims. NOTE: \_\_\_\_\_. (See 37 CFR 1.116 and 41.33(a)). 4. The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324). 5. Applicant's reply has overcome the following rejection(s): 6. Newly proposed or amended claim(s) \_\_\_\_\_ would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s). 7. For purposes of appeal, the proposed amendment(s): a) will not be entered, or b) will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended. The status of the claim(s) is (or will be) as follows: Claim(s) allowed: Claim(s) objected to: \_\_ Claim(s) rejected: Claim(s) withdrawn from consideration: . . . AFFIDAVIT OR OTHER EVIDENCE 8. The affidavit or other evidence filed after a final action, but before or on the date of filing a Notice of Appeal will not be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e). 9. The affidavit or other evidence filed after the date of filing a Notice of Appeal, but prior to the date of filing a brief, will not be entered because the affidavit or other evidence failed to overcome all rejections under appeal and/or appellant fails to provide a showing a good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1). 10. The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached. REQUEST FOR RECONSIDERATION/OTHER 11. 🛛 The request for reconsideration has been considered but does NOT place the application in condition for allowance because: See Continuation Sheet. 12. 🗌 Note the attached Information Disclosure Statement(s). (PTO/SB/08 or PTO-1449) Paper No(s). \_ 13. Other: SUPERVISORY PATENT EXAMINER

U.S. Patent and Trademark Office

Continuation of 11. does NOT place the application in condition for allowance because: The prior art clearly teaches all the limitation as claimed. Applicant argued in substance that Schnurer strongly teaches away from having a single operating system providing anything analogous to both the general environment and the limited environment and that Schnurer would be destroyed if the Examiner's suggestion (combining Schnurer with Nachenberg) were to be carried out. Examiner respectfully traverses applicant's remark. Schnurer disclosed that his invention could be done without a transplatform, or the use of a foreign operating system [col. 4, line 63-col. 5, line 4] if safety and speed are not the concern and that his trapping device is within a network environment [col. 6, lines 56-58; Figs. 3 and 4]. In addition, Nachenberg teaches an antivirus program that includes a decryption, exploration and evaluation phases/modules causing a CPU emulator with virtual memory to simulate untrusted programs/instructions [Nachenberg, col. 1, lines 16-20; col. 5, lines 27-40; col. 6, lines 52-58; col. 7, line 31-col. 8, line 47]. It would have been obvious to one of an ordinary skill in the art at the time the invention was made, to have recognized that even though the implementation of Schnurer's invention of using the same operating system is not recommended, it could be done, and to have combined the teaching of Schnurer with the teaching of Nachenberg by implementing the limited environment in the same machine as the general environment if the limited environment is limited to protecting a specific machine and to have an operating system within the machine providing both environments for the same reason (i.e. an antivirus program running under an operating system protecting other programs/hardware/real resources running under the same operating system). Therefore, applicant's arguments are deemed not persuasive.